



Ellyn T. Roberts

COUNSEL

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AREAS OF EXPERTISE

Investment Funds & Advisers, Securities Enforcement Defense, Regulatory Investigations, Securities Counseling, Securities Industry Litigation

Overview

Ms. Roberts has practiced corporate and securities law since 1988. She joined the Firm as Counsel in 1999. She specializes in representing investment advisers, including advisers to private funds and separately managed accounts. Her experience includes organizing investment advisory firms and private funds, investment adviser registration, ongoing compliance matters and preparing regulatory filings. Before joining the Firm, Ms. Roberts was on the staff of the United States Securities and Exchange Commission, where she was Branch Chief of Investment Adviser/Investment Company Examinations in the San Francisco office. Ms. Roberts has also worked on private placements of debt and equity and other corporate and securities law.

Professional Activities

While at the SEC, Ms. Roberts was a speaker on investment company and investment advisory legal issues.

Education

Auburn University, Auburn, Alabama
B.A., high honors

Duke University School of Law, Durham, North Carolina
J.D., Womble Scholar

Florida International University, Miami, Florida
Master of International Business

Admissions

California State Bar

Accolades

