

Public Company

Public companies demand sophisticated strategies to navigate the regulatory and market environment. Shartsis Frieese delivers.

Representing small- to medium-size public companies across a range of industries, our clients look to us to advise on SEC registration and reporting, including on Forms 10-K, 20-F, 10-Q, 6-K and 8-K, as well proxy statements. We regularly assist in preparing Forms S-1 or S-3 on capital markets transactions and Forms S-4 on M&A transactions.

Additionally, we also prepare beneficial ownership schedules 13D and 13G, as well as section 16 filings for investors and management.

Our public company clients involve us not only on their SEC issues, but on often-fraught board governance and shareholders issues, as well as to advise on the full range of business issues that they face, from commercial transactions to real estate and litigation.

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